



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Tukaram K. Hatwar

WHITE LIGHT-EMITTING
DEVICE STRUCTURES

Serial No. 10/657,626

Filed 08 September 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA. 22313-1450

Sir:

Group Art Unit not yet assigned
Examiner not yet assigned

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Joyce DeVries

Date: December 4, 2003

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION
BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

In compliance with §1.56, the documents listed in the enclosed Form PTO-1449 were submitted for consideration by the Patent and Trademark Office during prosecution of U.S. Serial No. 10/446,436. These documents are listed for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application.

Patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention not previously submitted for consideration by the Patent and Trademark Office during prosecution of U.S. Serial No. 10/446,436 are listed in the enclosed Form PTO-1449 and copies are provided.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. A full text copy of each document is attached, except for copies of U.S. patents and U.S. patent application publications. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, prior to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

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III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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Enclosures

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. 86277ARLO Customer No. 01333		Serial No. 10/657,626	
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee		Applicant: Tukaram K. Hatwar			
LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small>		Filing Date 08 September 2003		Group not yet assigned	

U.S. PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,769,292	9/6/88	Tang et al.			
	4,885,211	12/5/89	Tang et al.			
	5,683,823	11/4/97	Shi et al.			
	5,405,709	4/11/95	Littman et al.			

FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
✱	07-142169	6/2/95	Japan			X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Kido et al., in Science, Vol. 267, P 1332 (1995)
	Applied Physics Letters Vol. 64, p. 815 (1994)
	Deshpande et al., in Applied Physics Letters, Vol. 75, p. 888 (1999)

EXAMINER	DATE CONSIDERED
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	